



Loan Number: _____

Borrower Name(s): _____

Guarantor(s) Name (If applicable): _____

Property Address: _____

1) PLEASE COMPLETE ALL OF THE FOLLOWING FIELDS

FULL NAME of Borrower/Beneficial Owner/Signing Officer		DATE OF BIRTH (YYYY/MM/DD)	
BORROWER THIRD PARTY CERTIFICATION (not required for guarantor): I hereby certify that the mortgage is for: ___ my/our benefit and is <u>not</u> for the benefit of any third party ___ the benefit of a third party ²			
POLITICALLY EXPOSED PERSON AND HEAD OF INTERNATIONAL ORGANIZATIONS CERTIFICATION: To the best of the borrower's knowledge, has the borrower, their family members ⁶ , or close associates ⁷ held any of the following positions? <ul style="list-style-type: none">• Politically Exposed Domestic Person – living or deceased, <u>current</u> or <u>held in the past 5 years</u> ³ <input type="checkbox"/> NO <input type="checkbox"/> YES• Politically Exposed Foreign Person – living or deceased, <u>current</u> or <u>ever</u> held ⁴ <input type="checkbox"/> NO <input type="checkbox"/> YES• Head of an International Organization – <u>currently</u> held ⁵ <input type="checkbox"/> NO <input type="checkbox"/> YES If YES, record all that apply in the table below.			
First name (of PEP/HIO)	Middle initial	Last name	Relationship to borrower
Country where position held	Organization or institution	Position held	



2) PLEASE COMPLETE ALL OF THE FOLLOWING FIELDS

FULL NAME of Borrower/Beneficial Owner/Signing Officer		DATE OF BIRTH (YYYY/MM/DD)	
BORROWER THIRD PARTY CERTIFICATION (not required for guarantor): I hereby certify that the mortgage is for: ___ my/our benefit and is <u>not</u> for the benefit of any third party ___ the benefit of a third party ²			
POLITICALLY EXPOSED PERSON AND HEAD OF INTERNATIONAL ORGANIZATIONS CERTIFICATION: To the best of the borrower's knowledge, has the borrower, their family members ⁶ , or close associates ⁷ held any of the following positions? <ul style="list-style-type: none">• Politically Exposed Domestic Person – living or deceased, <u>current</u> or <u>held in the past 5 years</u> ³ <input type="checkbox"/> NO <input type="checkbox"/> YES• Politically Exposed Foreign Person – living or deceased, <u>current or ever held</u> ⁴ <input type="checkbox"/> NO <input type="checkbox"/> YES• Head of an International Organization – currently held ⁵ <input type="checkbox"/> NO <input type="checkbox"/> YES If YES, record all that apply in the table below.			
First name (of PEP/HIO)	Middle initial	Last name	Relationship to borrower
Country where position held	Organization or institution	Position held	

¹ Job Title and Occupation. Vague descriptions such as “Business-for-Self”, “self-employed”, “consultant”, “investor”, “business owner”, “businessman”, “entrepreneur” or “Signing Officer” are **not acceptable**. The job title and occupation must clearly reflect the nature of the work and the industry in which it is performed.

² Benefit of Third-Party. If the “benefit of a third-party section” is selected, please complete “**APPENDIX C – THIRD PARTY VERIFICATION FORM**”

³ Politically Exposed Domestic Person (PEDP): A person who currently holds, or has held within the last 5 years, a specific office or position in or on behalf of the Canadian federal government, a Canadian provincial (or territorial) government, or a Canadian municipal government. Specifically, the person has held the office or position of:

- Governor General, lieutenant governor or head of government;
- member of the Senate or House of Commons or member of a legislature;
- deputy minister or equivalent rank;
- ambassador, or attaché or counsellor of an ambassador;
- military officer with a rank of general or above;
- president of a corporation that is wholly owned directly by His Majesty in right of Canada or a province;
- head of a government agency;
- judge of an appellate court in a province, the Federal Court of Appeal or the Supreme Court of Canada;
- leader or president of a political party represented in a legislature; or
- mayor, reeve, Chief of First Nations or other similar chief officer of a municipal or local government - regardless of population size.



⁴Politically Exposed Foreign Person (PEFP): A person who holds or has ever held one of the following offices or positions in or on behalf of a foreign state:

- head of state or head of government;
- member of the executive council of government or member of a legislature;
- deputy minister or equivalent rank;
- ambassador, or attaché or counsellor of an ambassador;
- military officer with a rank of general or above;
- president of a state-owned company or a state-owned bank;
- head of a government agency;
- judge of a supreme court, constitutional court or other court of last resort; or
- leader or president of a political party represented in a legislature.

⁵Head of an International Organization (HIO): A person who currently holds or has held within the last 5 years the specific office or position of head of an international organization and the international organization that they head or were head of is either:

1. an international organization established by the governments of states;
2. an institution of an organization referred to in 1 above; or
3. an international sports organization.

An institution established by an international organization does not have to operate internationally and it is possible that an institution only operates domestically, or in one jurisdiction. The HIO is the primary person who leads the organization. For example, the HIO could be a president or CEO.

⁶Family Members of PEP or HIO. Under the PCMLTFA and associated Regulations, family members are:

- Their spouse or common-law partner
- Their biological or adoptive child(ren)
- Their mother(s) or father(s)
- The mother(s) or father(s) of their spouse or common-law partner (mother-in-law or father-in-law); and
- The child(ren) of their mother or father (sibling(s))

⁷Close Associates of PEP or HIO. Under the PCMLTFA and associated Regulations, close associates are:

- Are the business partners of, or who beneficially own or control a business with, a PEP or HIO
- Are in a romantic relationship with a PEP or HIO
- Are involved in financial transactions with a PEP or a HIO
- Serve as prominent members of the same political party or union as a PEP or HIO
- Serve as a member of the same board as a PEP or HIO
- Carry out charitable works closely with a PEP or HIO; or
- Are listed as joint on a policy where one of the holders may be a PEP or HIO

Signature

Date

Signature

Date